

# The Inside Story of the Lithium Ion Battery

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Engineering

# Outline

- Background - Why this is important
- Electrochemistry/Battery Reactions
- Design of the Cells/Structure
- Manufacturing
- Performance
- Safety
  - Daniel Forbes' Experimental Study

# Why This is Important

Portable Electronics

Energy/Transportation



Telecommunications/Personal  
Computers/Personal Networks



Lithium Ion Battery

~200 Wh/k

~400 Wh/L

~ 10 cents/kWh charge

FF-->Heat-->Mech-->Elec-->Mech

Gasoline

~13,000 Wh/kg

~10,000 Wh/L

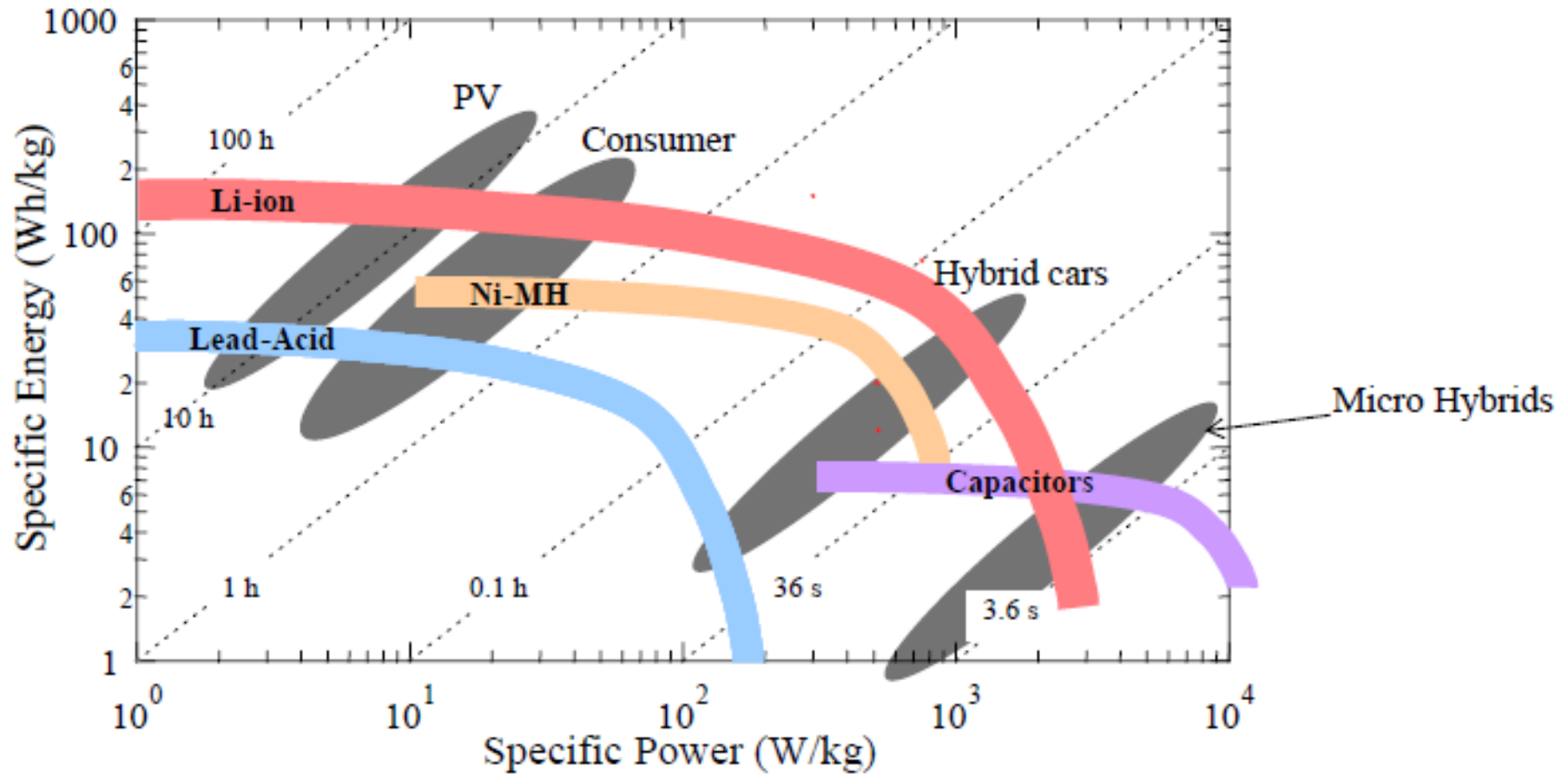
~ 5 cents/kWh refill

FF-->Heat--Mech

# Historical Context

1791	Galvani (Italy)	Animal Electricity
1800	Alessandro Volta (Italy)	Invention of Voltaic Cell (Cu/brine/Zn)
1833	Micchael Faraday (UK)	Faraday's Law of Electrolysis
1836	John Daniell (UK)	Daniell Cell (Cu/CuSO <sub>4</sub> //ZnSO <sub>4</sub> /Zn)
1859	Gaston Plante (France)	$\text{PbO}_2 (\text{s}) + \text{Pb}(\text{s}) + 2\text{H}_2\text{SO}_4 = 2 \text{PbSO}_4 (\text{s}) + 2 \text{H}_2\text{O}$
1868	Georges Leclanche (France)	$\text{Zn}(\text{s}) + 2 \text{MnO}_2(\text{s}) + 2 \text{NH}_4\text{Cl}(\text{aq}) \rightarrow \text{ZnCl}_2 + \text{Mn}_2\text{O}_3(\text{s}) + 2 \text{NH}_3(\text{aq}) + \text{H}_2\text{O}$
1899	Waldemar Jugner (Sweden)	$\text{Cd} + 2\text{NiO}(\text{OH}) + 2\text{H}_2\text{O} = \text{Cd}(\text{OH})_2 + 2\text{Ni}(\text{OH})_2$
1901	Thomas Edison (USA)	$\text{Fe} + 2\text{NiO}(\text{OH}) + 2\text{H}_2\text{O} = \text{Fe}(\text{OH})_2 + 2\text{Ni}(\text{OH})_2$
Mid 1960	Union Carbide (USA)	$\text{Zn} (\text{s}) + 2\text{MnO}_2 (\text{s}) \rightarrow \text{ZnO} (\text{s}) + \text{Mn}_2\text{O}_3 (\text{s})$
1970s	Various	Valve Regulated Lead Acid Cells
1990	Various	$\text{MH} + \text{NiO}(\text{OH}) = \text{M} + \text{Ni}(\text{OH})_2$
1991	Yoshio Nishi (Japan)	Lithium Ion Cell

# Performance of Various Chemistries



# Electrochemical Cell

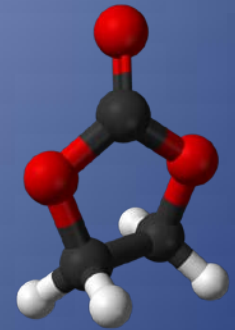
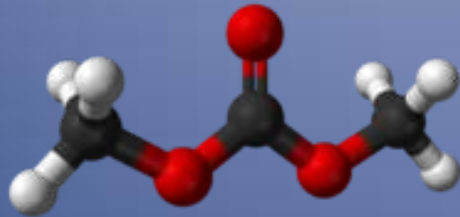
- Consists of Positive Electrode (Cathode), Negative Electrode (Anode) and Electrolyte
- An open circuit voltage is created by the free energy of reaction of the primary reaction and the influence of side reactions
- In the case of the lithium ion cells, we start with the discharged materials and give the cell a first charge

# Starting Materials

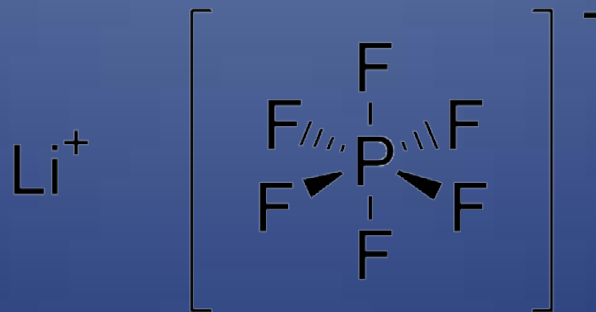
- Anode: Graphite, finely divided
- Cathode: Layered Lithium Metal Oxide, e.g..  
Lithium Cobalt Oxide  $\text{LiCoO}_2$
- Both Materials are layered materials, through which lithium can move easily due to the layered structures.
- Since water reacts with lithium, we must use nonaqueous electrolytes

# Electrolytes

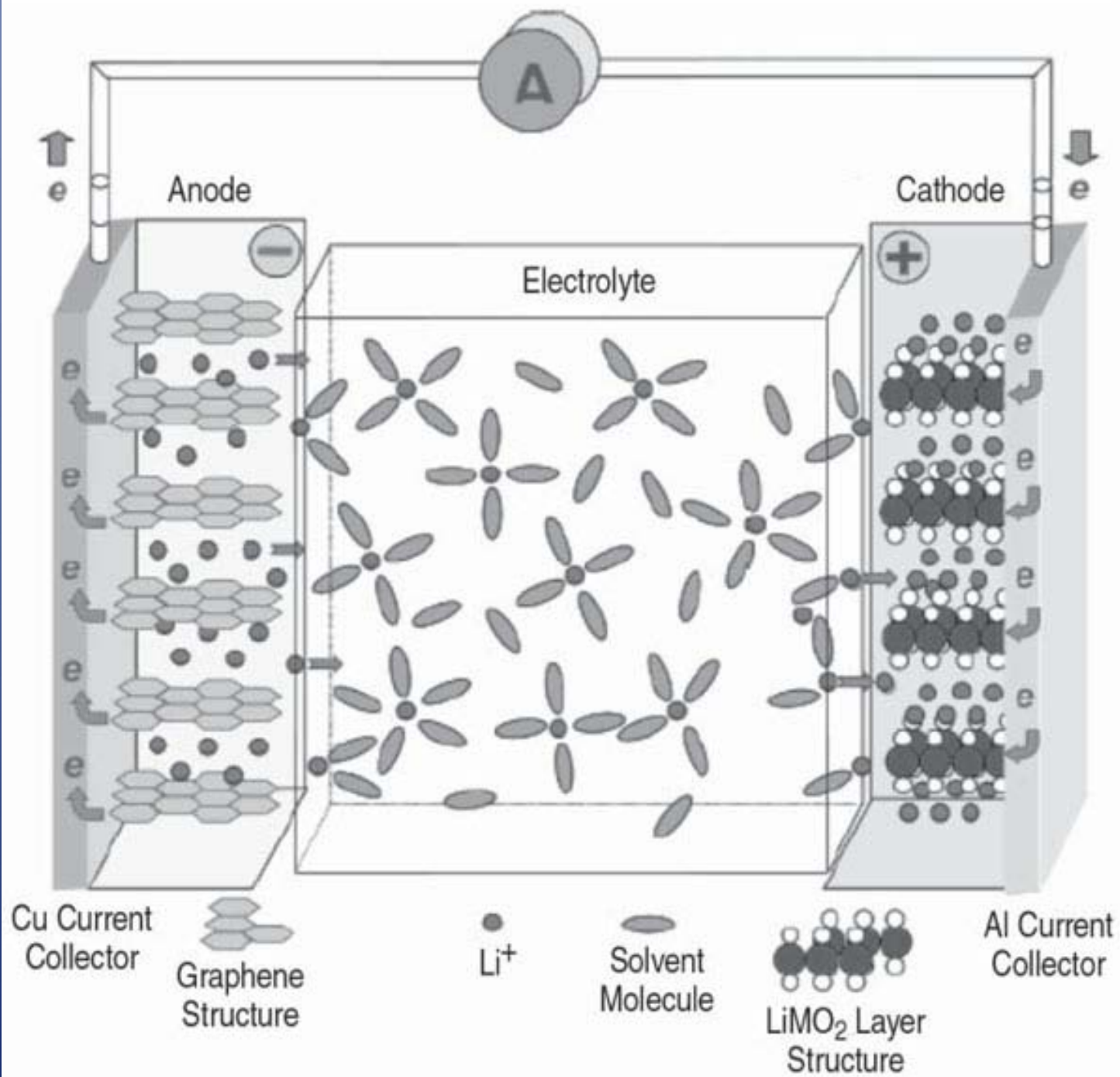
- Solvent: Mixtures of Organic Carbonates such as dimethyl carbonate (DMC) and ethylene carbonate (EC)



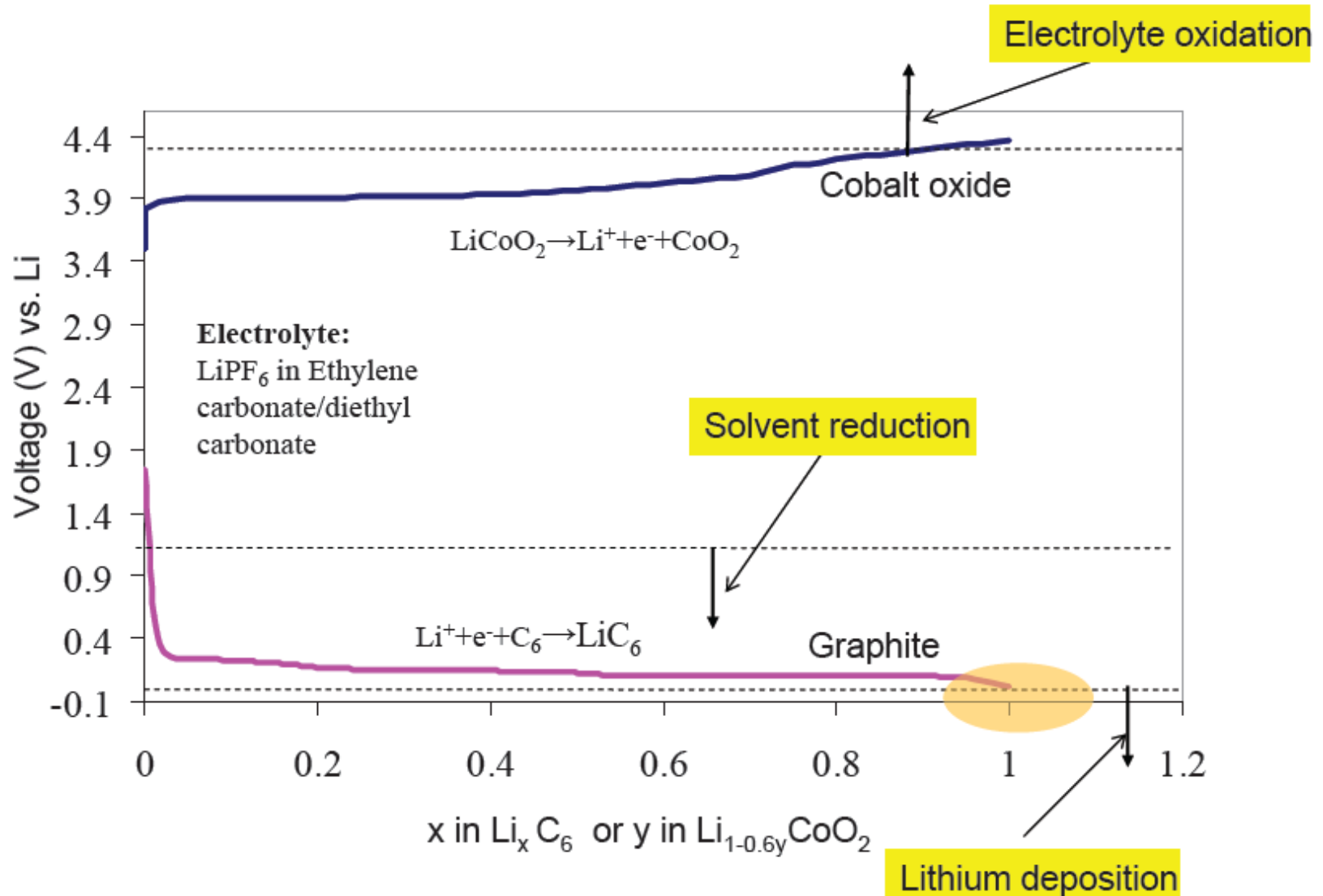
- Salt such as Lithium hexafluoro phosphate







# The First Charge of a Lithium Ion Cell



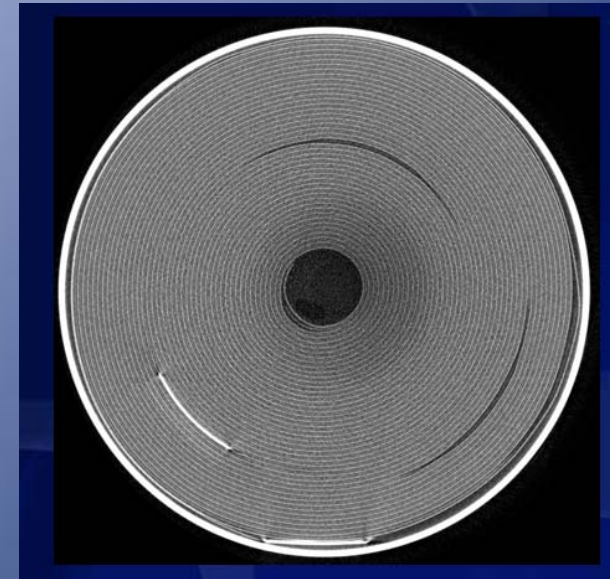
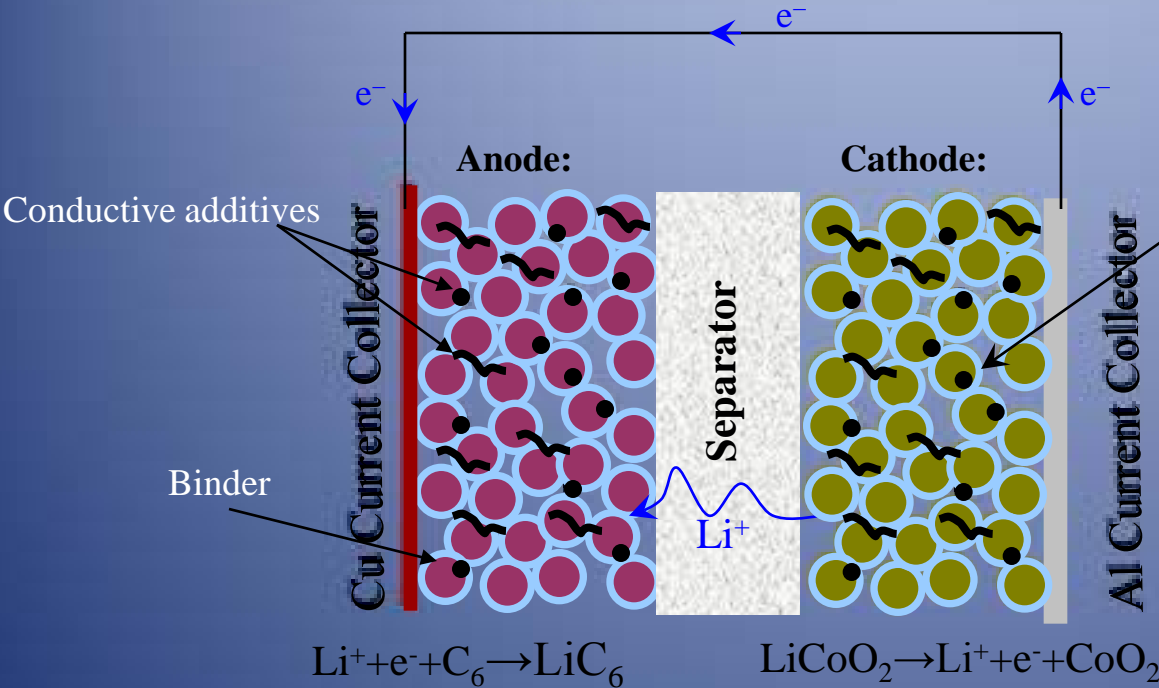
# Inconvenient Truths

- On the first charge the carbonates react to form a Solid-Electrolyte Interphase (SEI) layer on the graphite electrode that prevents further decomposition and allows the lithium to intercalate into the graphite.
- The conductivity of the electrolyte is very low relative to acid or alkaline aqueous electrolytes so the electrode spacing must be very small.

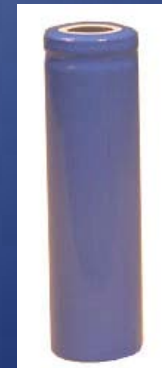
# Modern Li-ion Battery

## Lithium-ion battery

Electrolyte  
 $\text{LiPF}_6$  in Ethylene  
carbonate/diethyl  
carbonate



Innovation can occur via new material development, or by better engineering



# Manufacturing

- Slurry Coating
- Calendaring
- Winding
- Cell Assembly
- Electrolyte Fill
- Cap and Seal
- Electrochemical Formation Charge

# Starting Materials

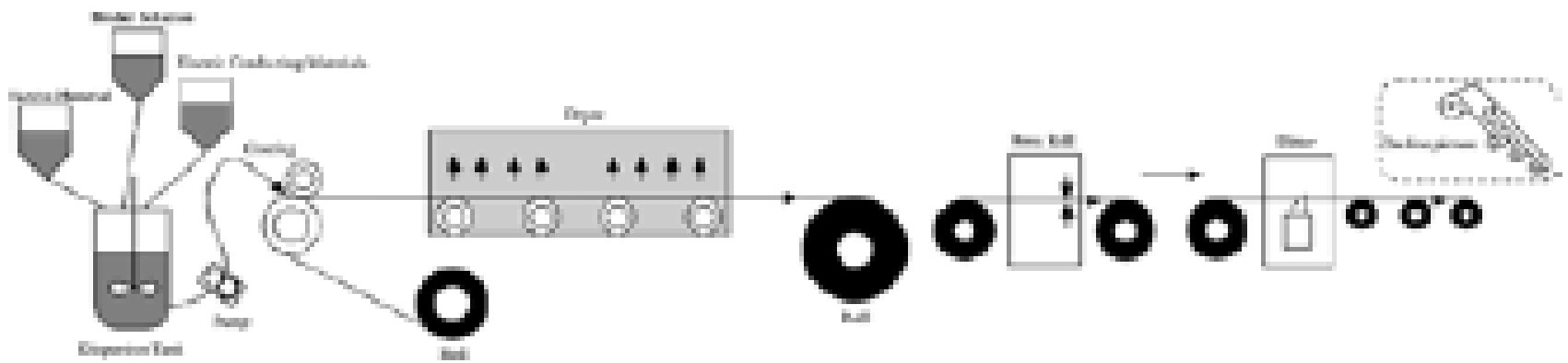


Current Collectors  
Aluminum foil (Cathode) 20 $\mu$ m  
Copper foil (Anode) 14  $\mu$ m



Separator  
Polyethylene  
50% porosity, 3-8  $\mu$ m

# Slurry Coating





# Manufacturing Equipment



Coating and Drying of Electrodes



Calendaring



Final Assembly, Filling, Sealing



# Cans, Caps, Mandrels



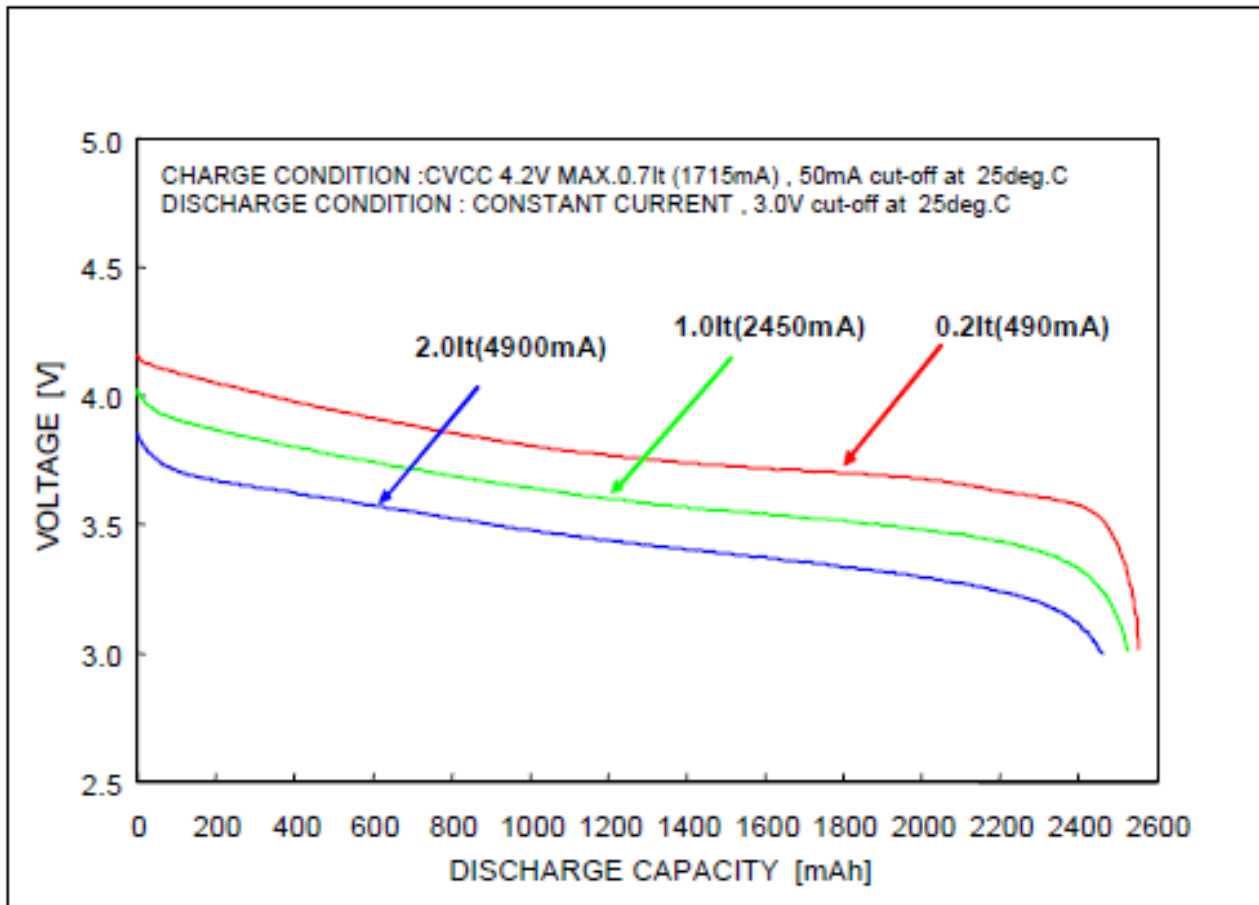
# Parts of the Cells



# Finished Product

Panasonic CGR18650EA

## ■ Typical discharge characteristics



2.55 Ah Capacity

46.5 g Mass

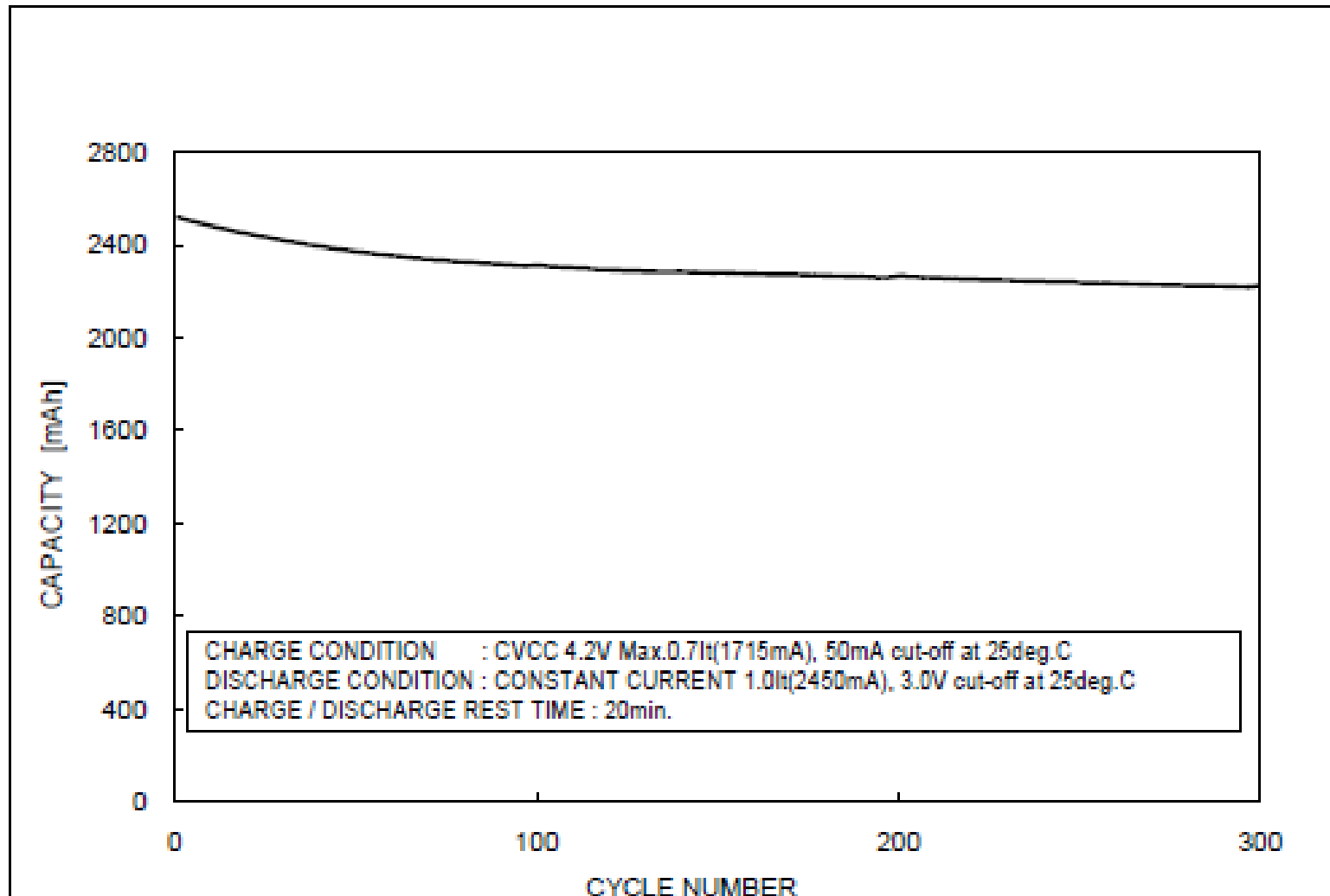
3.7 V Nominal

9.43 Wh

209 Wh/kg

# Cycle Life Panasonic CGR18650 EA

## ■ *Typical life characteristics*

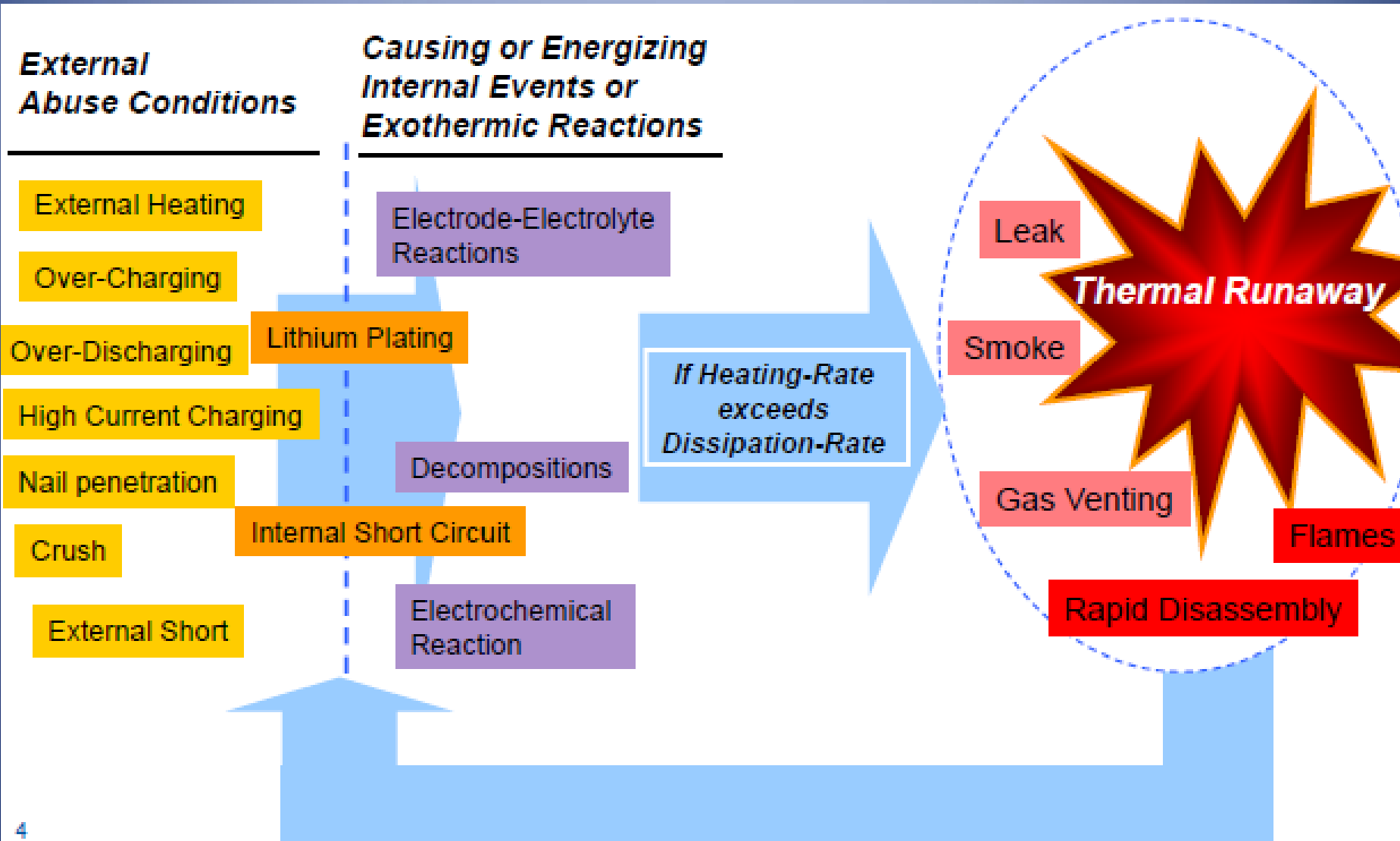


# Safety

- The lithium ion cell is safe if carefully controlled
- If not controlled serious problems can occur including
  - Venting of flammable electrolyte
  - Fire
  - Explosion



# Thermal Runaway Events

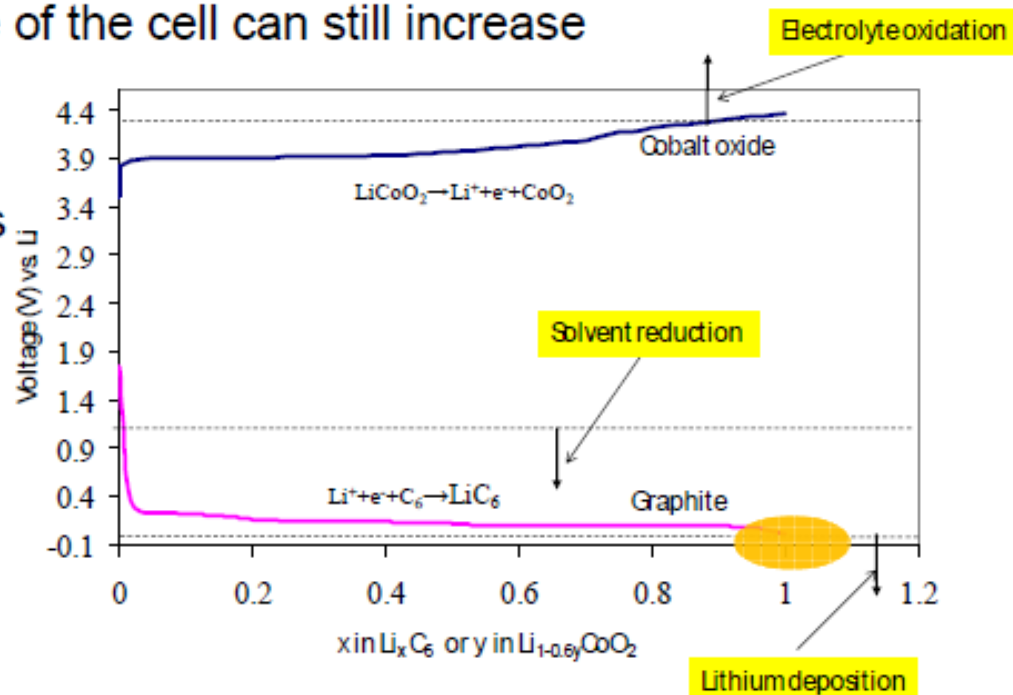


# Preventing Thermal Runaway

- Use a cathode where oxygen is not released ( $\text{LiFePO}_4$  cathode from A123)
  - However, note that temperature of the cell can still increase

- Move away from a material that forms an SEI on the anode.

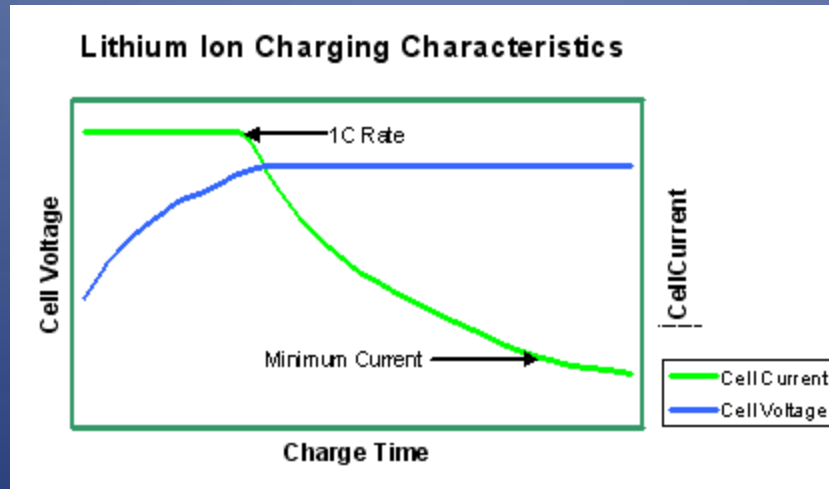
- Anodes do exist that have this feature, but they also have a low voltage ( $\text{Li}_4\text{Ti}_5\text{O}_{12}$  from Altair).



- Find a way to provide a overcharge protection similar to lead-acid and Ni-MH cells
  - Attempts are being made to find additives, or redox shuttles, that oxidize on the cathode on overcharge, and reduce on the anode.
  - However, as of today no ideal shuttle mechanism has been found.

# Electronic Control

- For Safety
- For Long Life
- For State Of Charge Knowledge
- Daniel Forbes will discuss





# Single-Cell Control Circuit Verification

STW 4102 Integrated Circuit for Lithium  
Ion Cells

Daniel Forbes

# Objectives

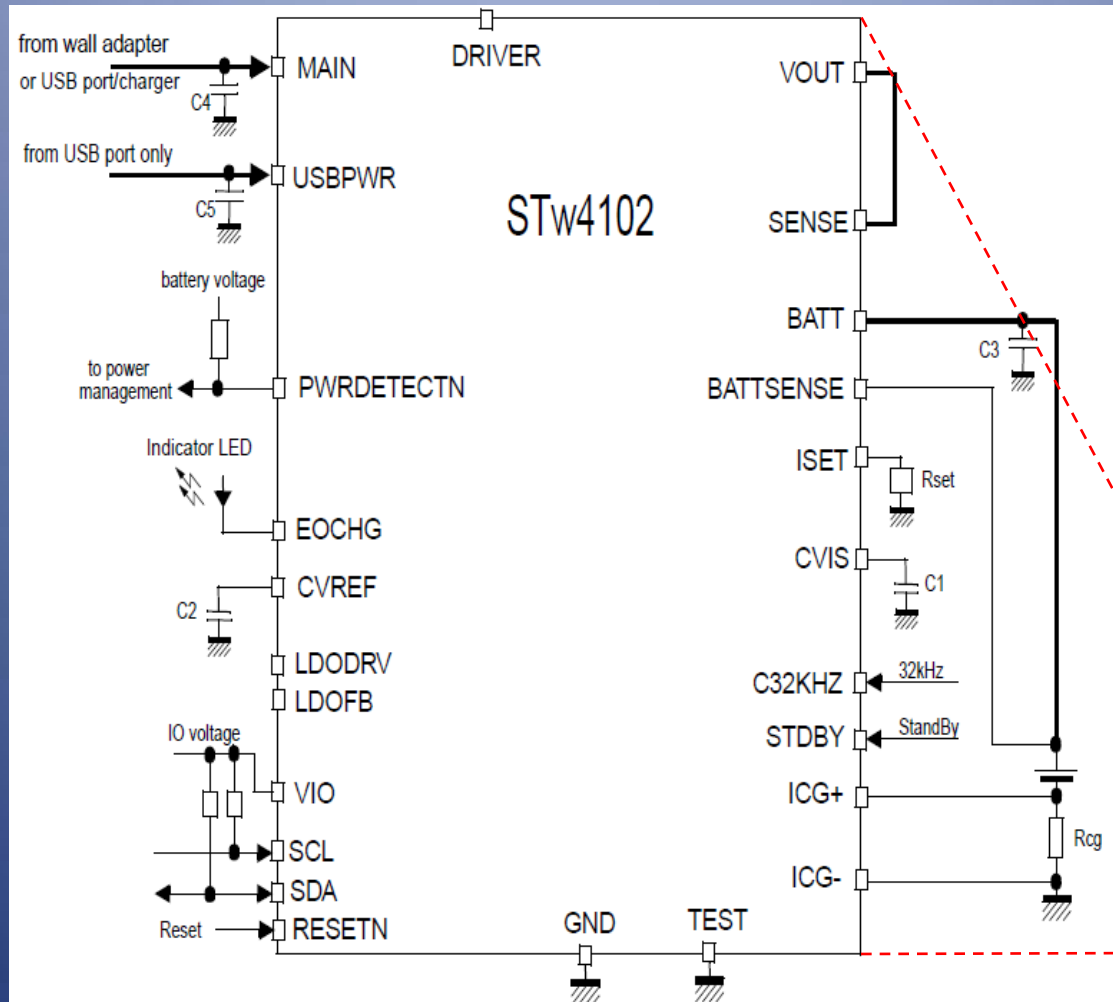
- Experiment with charging and discharging a lithium ion battery
- Research available devices
- Test device to verify operation and learn about cells
- Provide battery lab with simple means to cycle battery while gathering data

# Approach

- Surveyed control strategies / available ICs
- Selected control IC
- Designed a test circuit
- Fabricated test board
- Obtained sample cells
- Designed and executed test plan
- Compiled gathered data into graphs for analysis

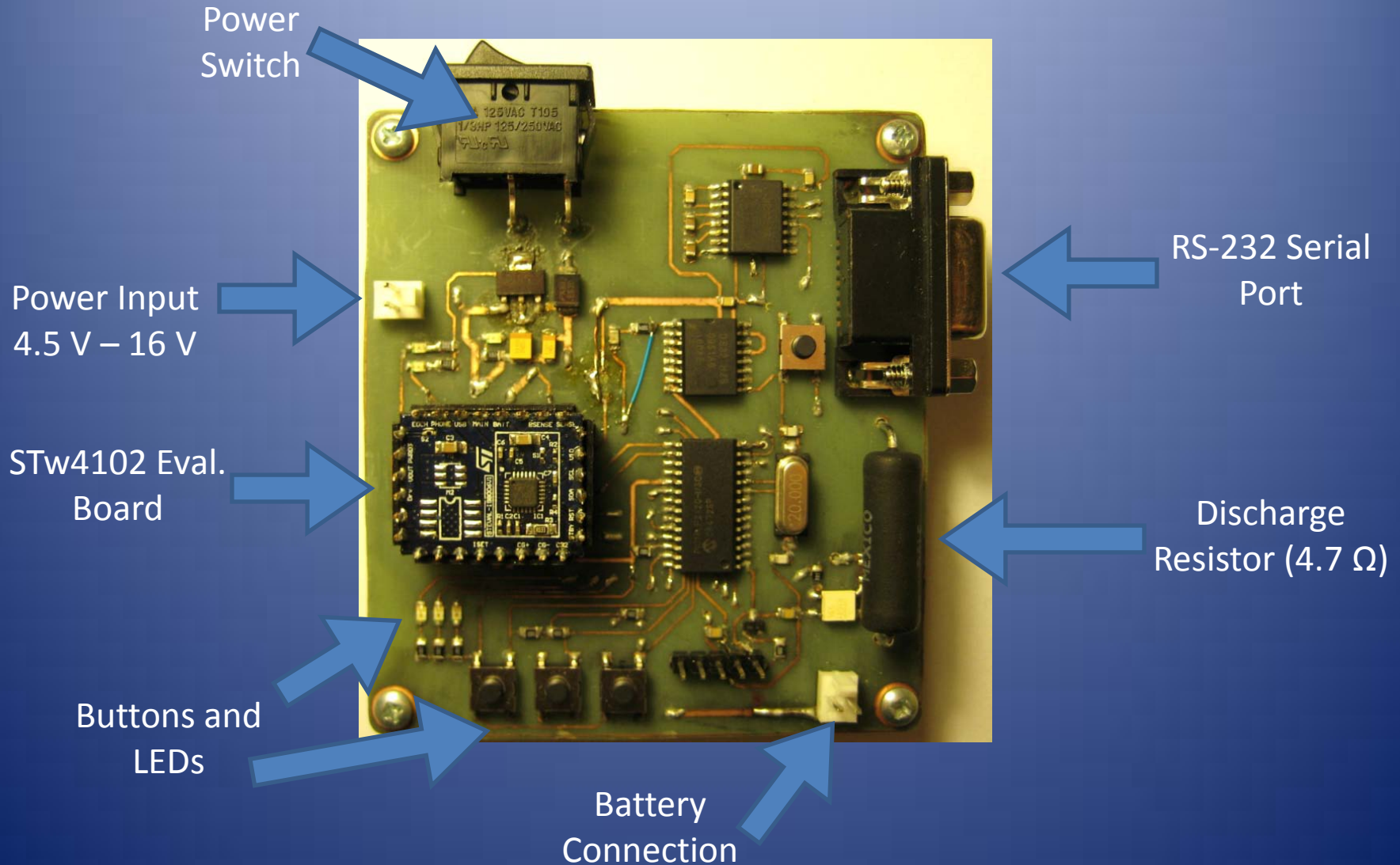
# Hardware:

## STw4102 Charger and Gas Gauge



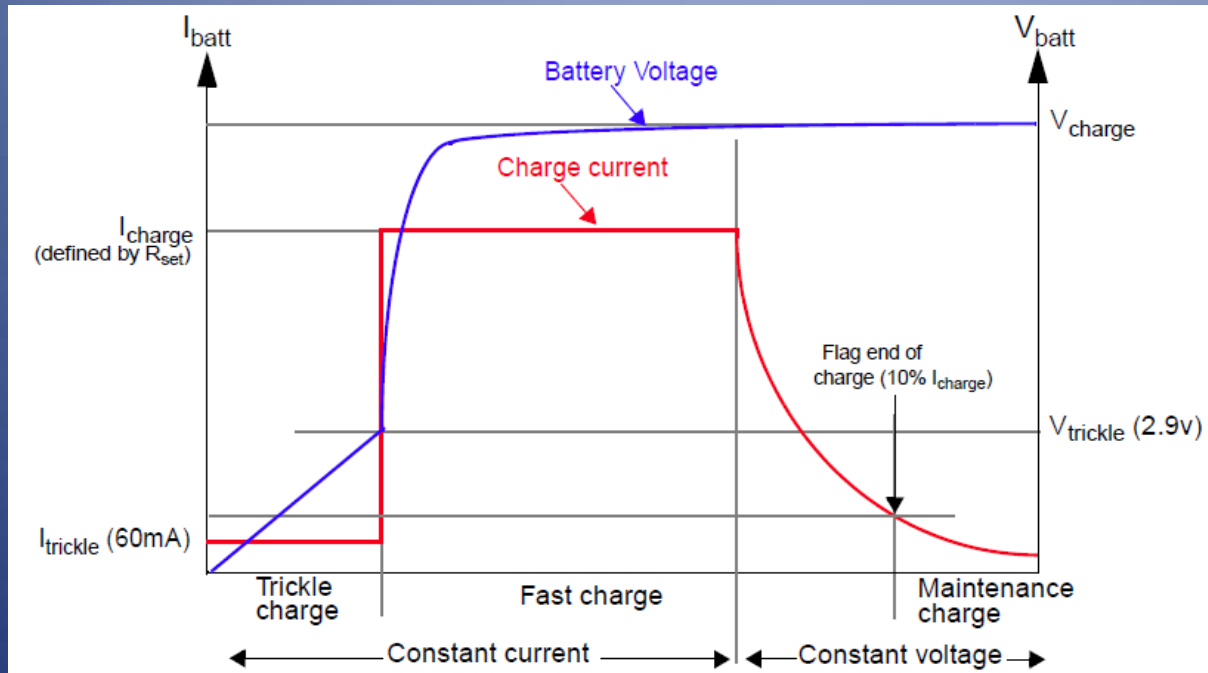
# Hardware:

## Complete Demonstration Board



# Hardware

## Expected Constant-Current Constant-Voltage (CCCV) Charge Curves

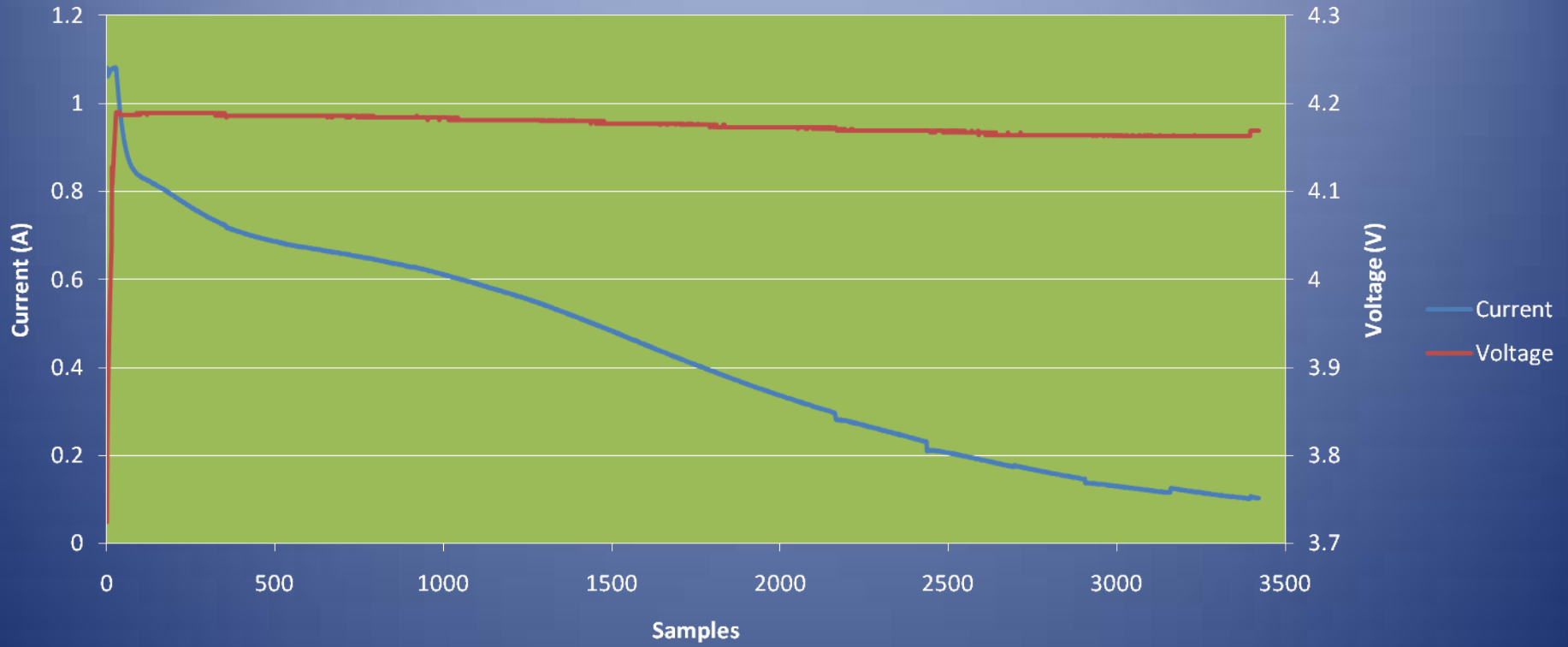


Sample Test Cell:  
750 mAh, 3.7 V



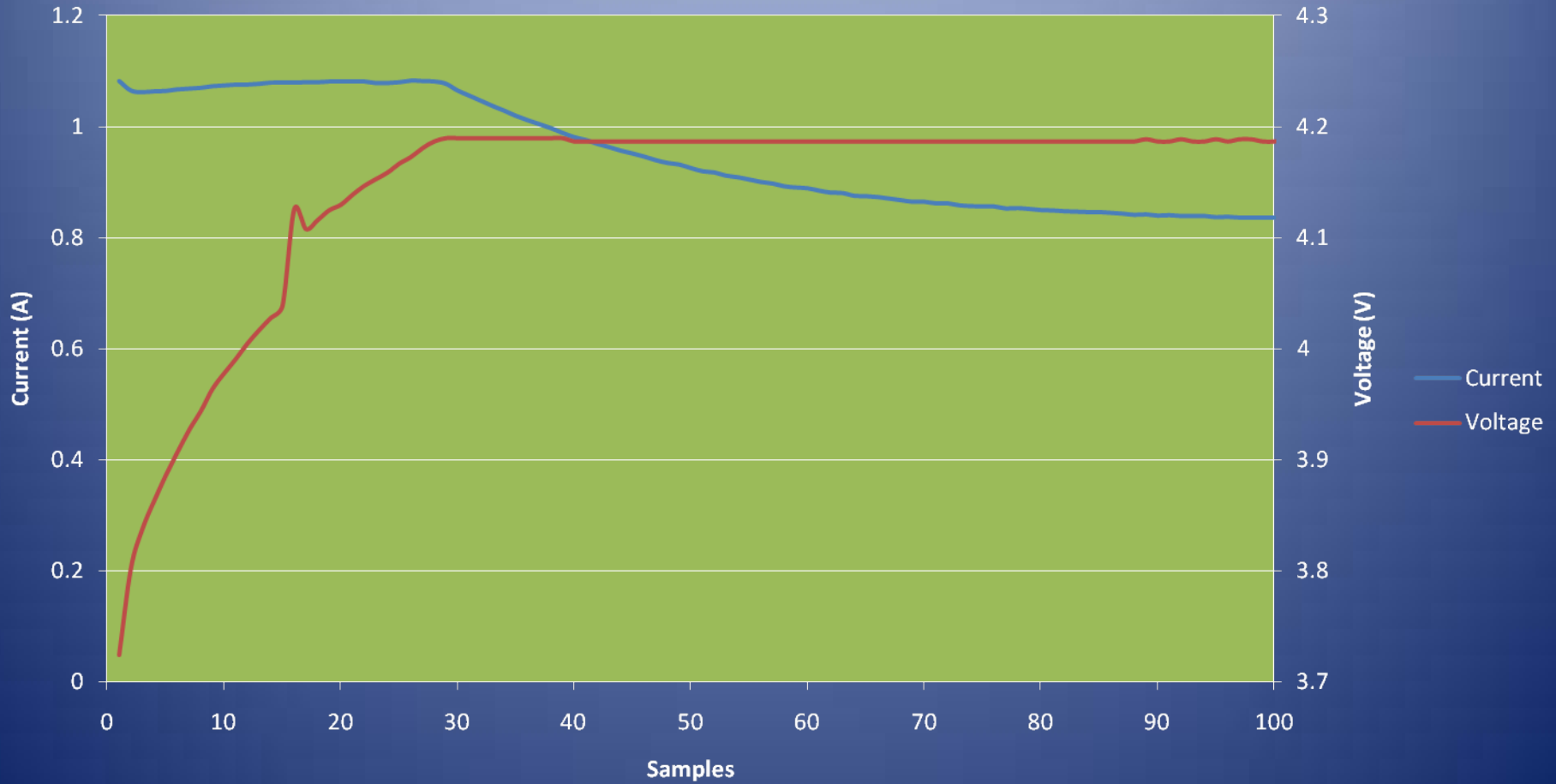
# Results

## Charging (mostly CV)



# Results

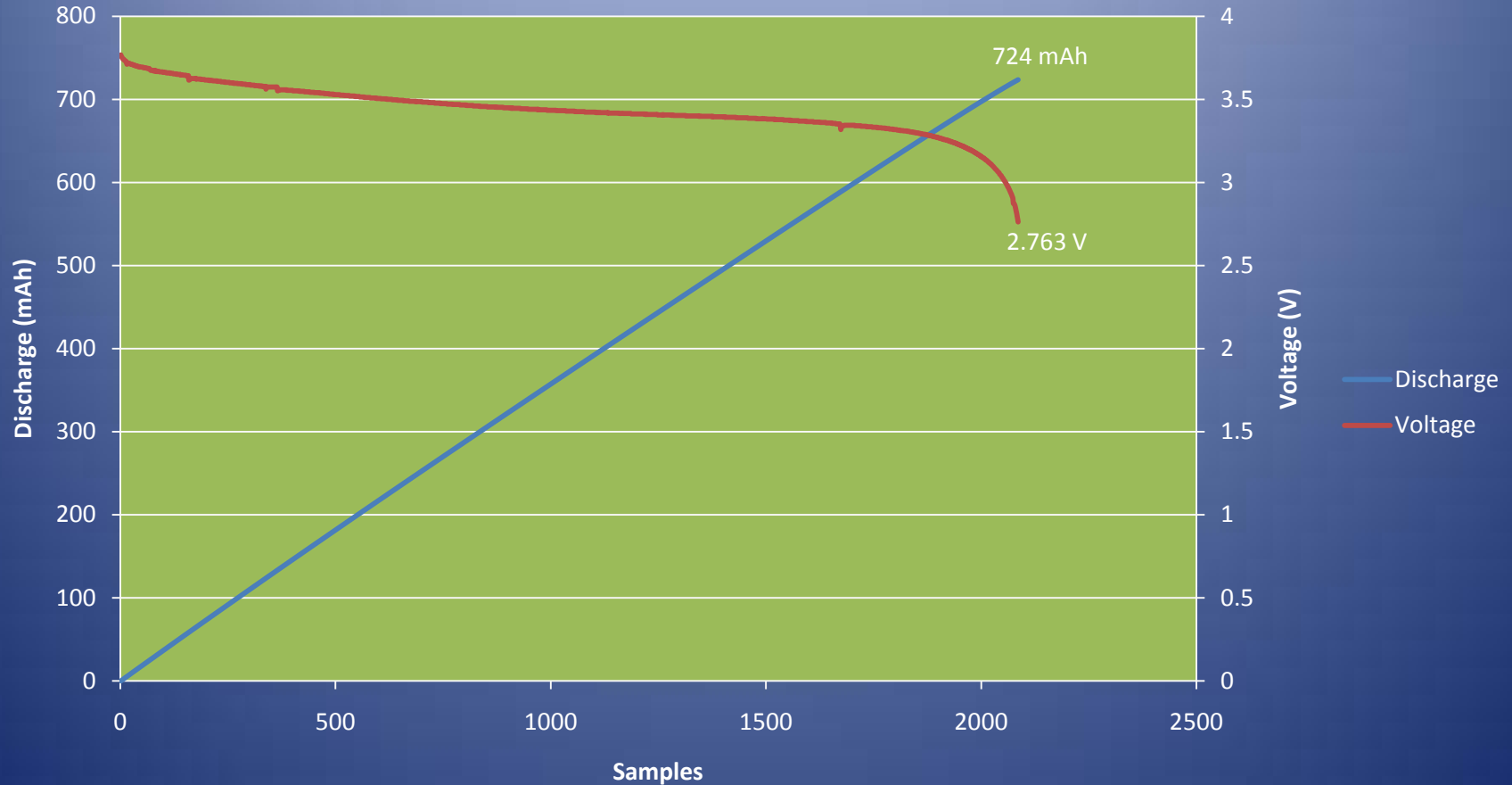
## Charging (mostly CV)





# Results

## Discharging Through a 4.7 $\Omega$ Resistor



# Conclusions

- STw4102 appears to operate as advertised, providing charging and gas gauging
- Problems encountered
  - I<sup>2</sup>C communication debugging
  - PCB quality
  - Loose connection or bad STw4102 demo. board
- Tested test equipment as well as cell
- Tool for battery lab for future use

# Recommendation for further work

- Expand system to work with multiple cells
- Build a pack and instrument each cell
- Some fallbacks of STw4102
  - 32 kHz input needed
  - Limited to 914 mAh cell maximum
  - Alternative: TI BQ27541
    - Offers more features (6000 mAh limit, temperature, time-to-empty)
    - Doesn't integrate charger, separate IC required
- Fix experimental problems (new boards on the way, testing daughter board)
- Automate testing (build a cycler) to increase cell data acquisition speed

# Tesla Roadster

## Tesla Roadster

uncompromised design, performance, and technology

- 0-60 mph in 3.9 seconds
- 236-mile range
- 2x more efficient than a hybrid



## Interesting Sites

Electropaedia

<http://www.mpoweruk.com/index.htm>

Wikipedia

[http://en.wikipedia.org/wiki/Lithium-ion\\_battery](http://en.wikipedia.org/wiki/Lithium-ion_battery)

The Battery University

<http://www.batteryuniversity.com/index.htm>

[http://www.meridian-int-res.com/Projects/Lithium\\_Microscope.pdf](http://www.meridian-int-res.com/Projects/Lithium_Microscope.pdf)

## Books

Yoshio, Masaki et al, ed. Lithium Ion Batteries: Science and Technologies. Berlin: Springer, 2009.

Nazri, Gholam-Abbas et al , ed. Lithium Batteries: Science and Technology. Dordrecht: Kluwer Academic Publishers 2004.

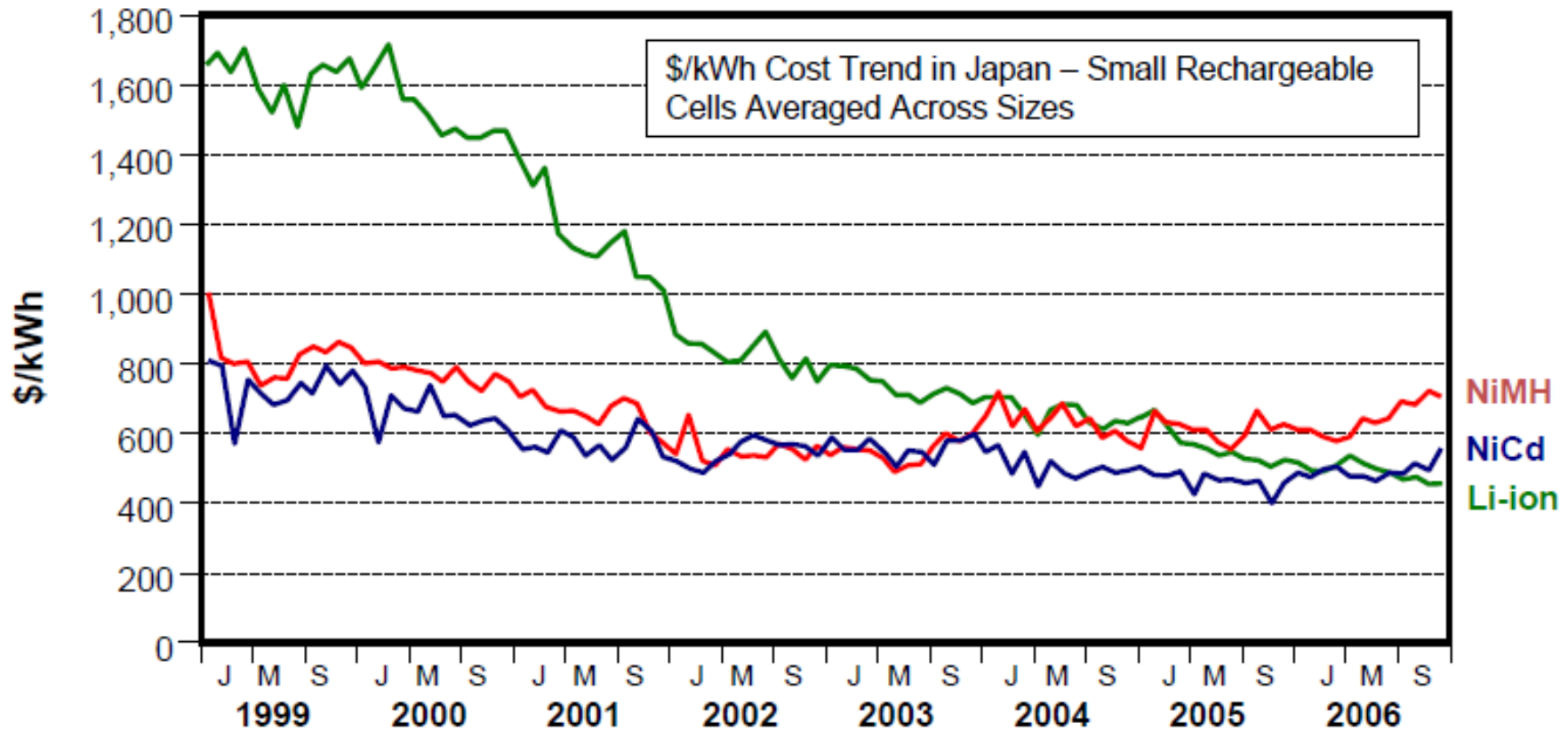
### Mathematical Models of John Newman (UC Berkeley)

A Combined Model for Determining Capacity Usage and Battery Size for Hybrid and Plug-in Hybrid Vehicles (with Paul Albertus, Jeremy Coutts, and Venkat Srinivasan). *Journal of Power Sources*, **183** (2008), 771-782.

# Supplementary Material

- Cost
- Market Growth
- Advanced Chemistries

# Cost



Source: U.S. DOE

Source: TIAX, based on METI data

For consumer electronics, energy and cost are the biggest drivers



# Battery Market

1867



Today



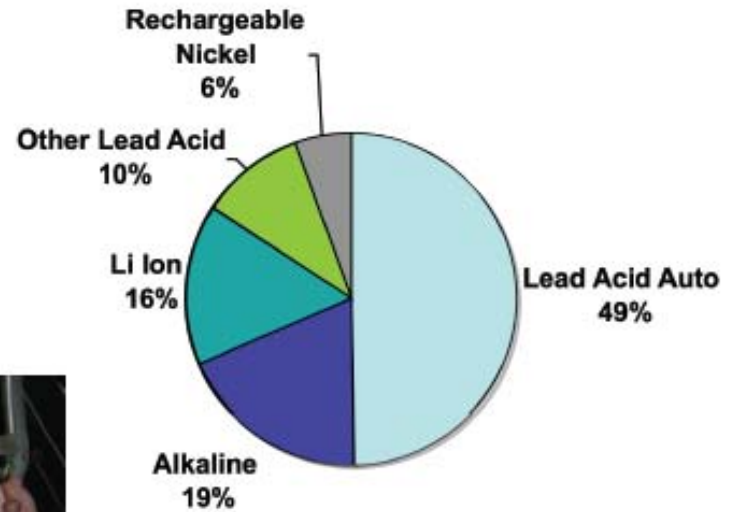
1859



Today

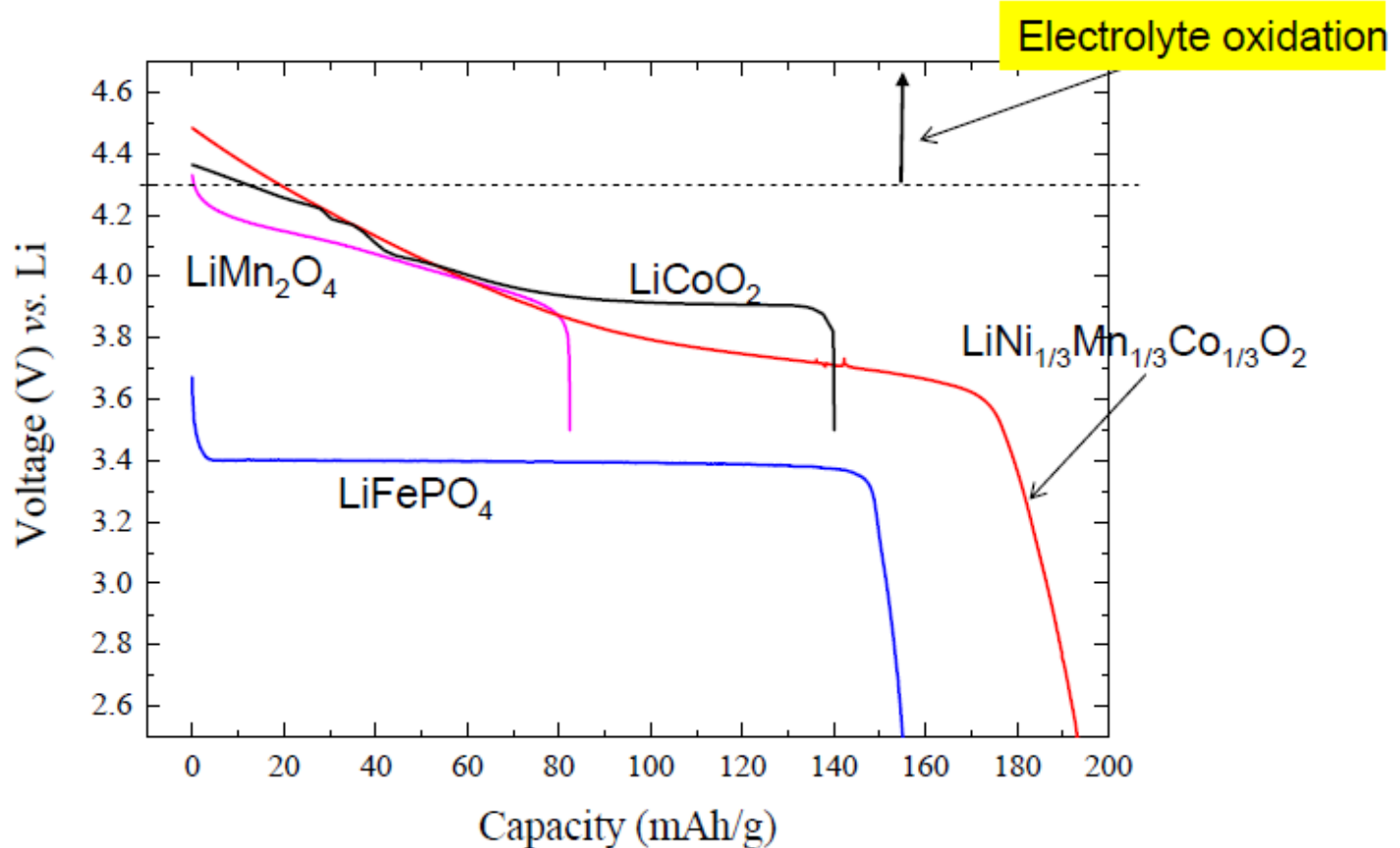


## 2004 Global Market Share



# Advanced Chemistries

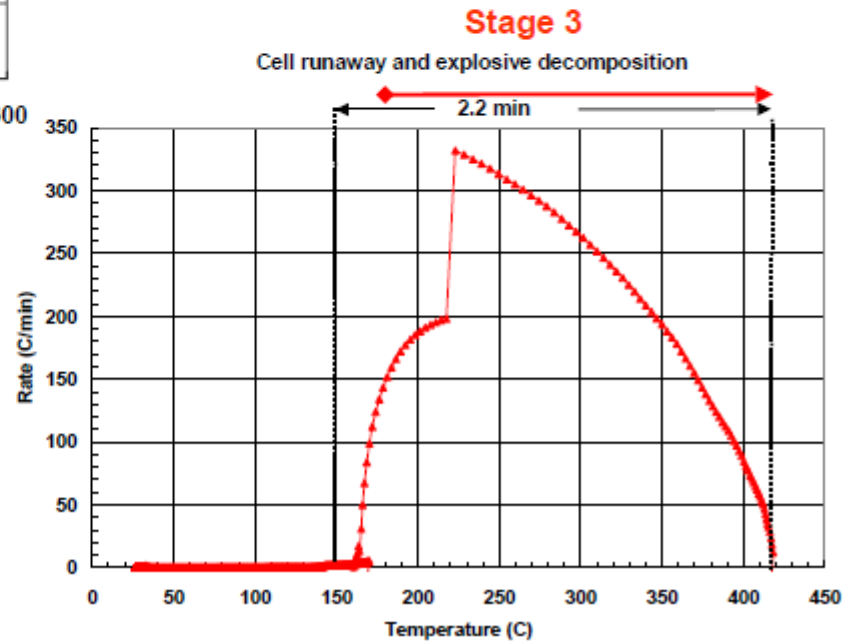
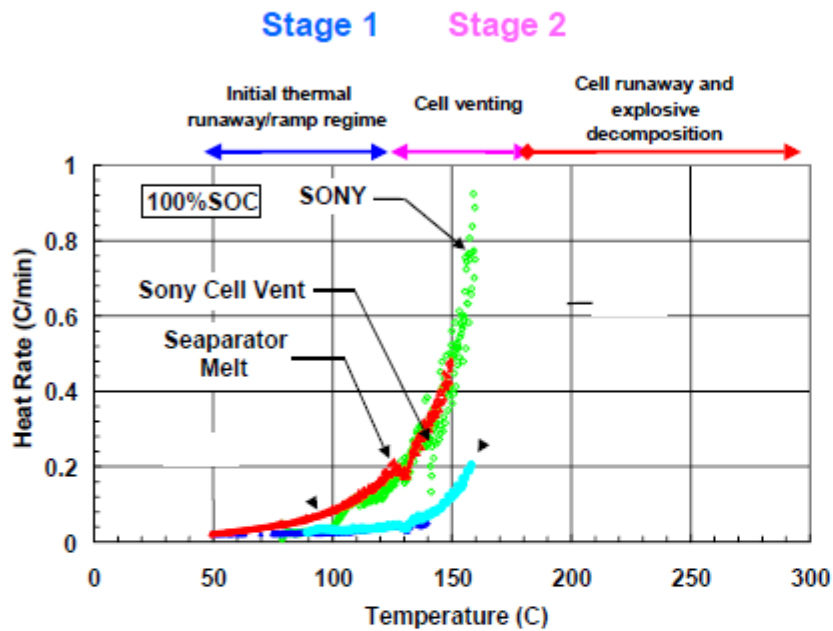
## Equilibrium Potential of a Few Cathodes



Cathodes have different voltage, different capacity

Higher the voltage, or higher the capacity, more energy in the cell

# Thermal Runaway in Li-ion Cells



# Biomedical Applications



<b>Cardiac Pacemakers</b>	<b>Conduction disorders</b>
<b>Cardiac Defibrillators</b>	<b>Ventricular and atrial tachyarrhythmia and fibrillation</b>
<b>Muscle Stimulators</b>	<b>Incontinence</b>
<b>Neurological Stimulators</b>	<b>Essential tremors (Parkinsons disease)</b>
<b>Cochlear Implants</b>	<b>Hearing disorders</b>
<b>Monitoring Devices</b>	<b>Synapse , Seizures</b>
<b>Drug Pumps</b>	<b>Pain caused by cancer and injury Diabetes (insulin pumps) Spasticity (intrathecal baclofen pumps)</b>
<b>Left Ventricle Assist Devices</b>	<b>Heart failure –bridge to transplant or recovery</b>

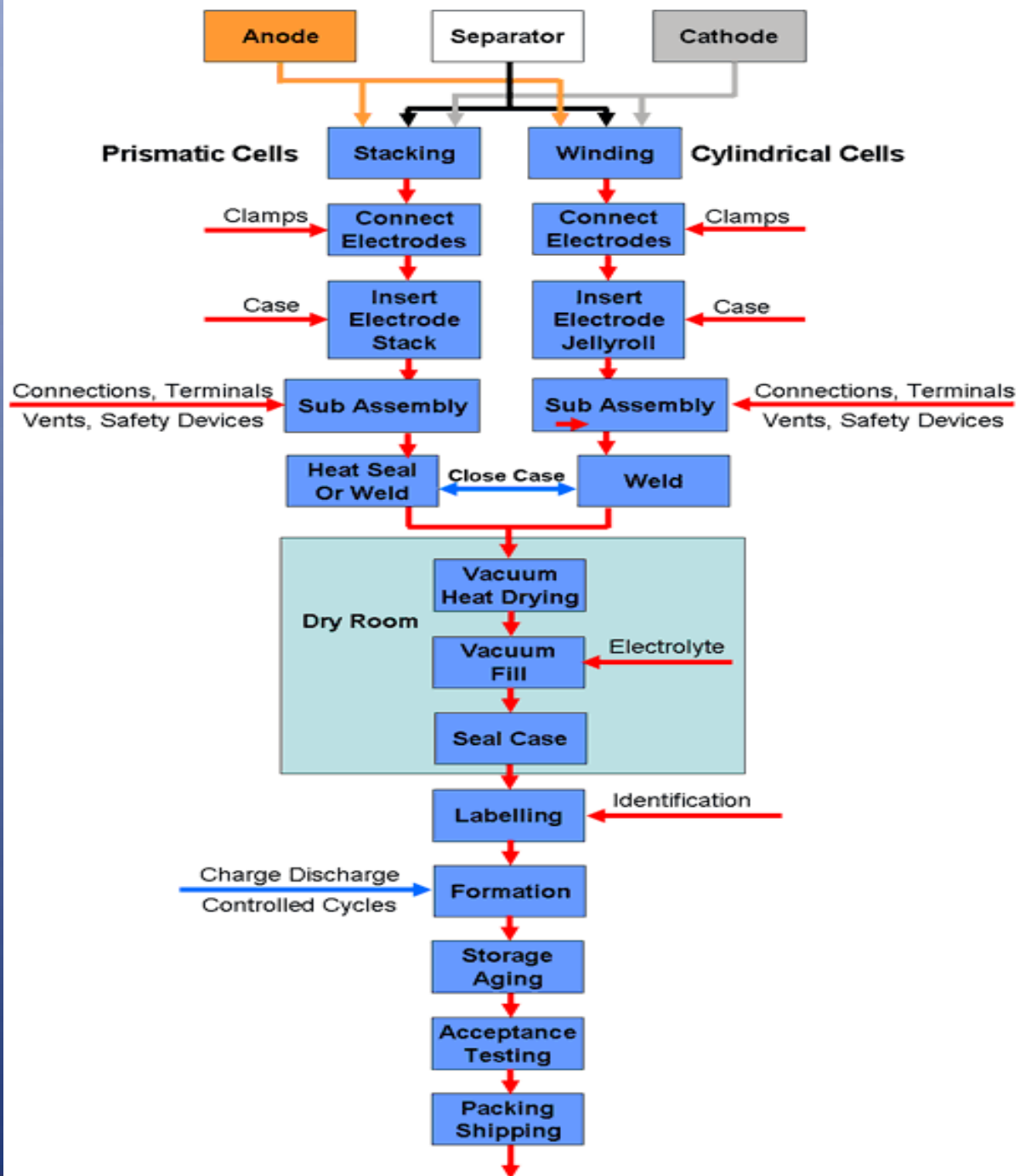
# Candidate Anodes

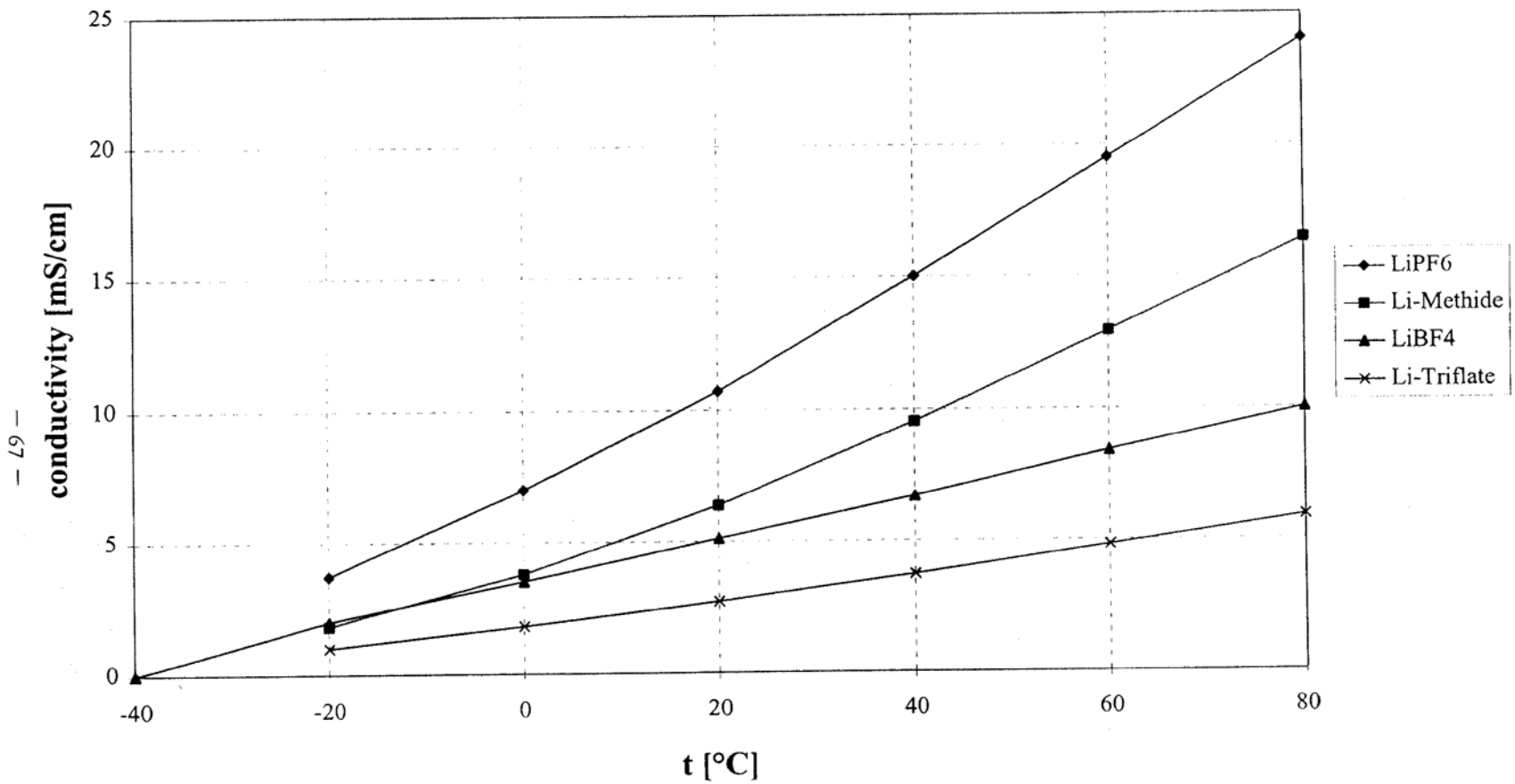
Anode Material	Average Voltage	Gravimetric Capacity
Graphite ( $\text{LiC}_6$ )	0.1-0.2 V	372 mA·h/g
Hard Carbon ( $\text{LiC}_6$ )	? V	? mA·h/g
Titanate ( $\text{Li}_4\text{Ti}_5\text{O}_{12}$ )	1-2 V	160 mA·h/g
Si ( $\text{Li}_{4.4}\text{Si}$ )	0.5-1 V	4212 mA·h/g
Ge ( $\text{Li}_{4.4}\text{Ge}$ )	0.7-1.2 V	1624 mA·h/g

# Candidate Cathodes

Cathode Material	Average Voltage	Gravimetric Capacity
$\text{LiCoO}_2$	3.7 V	140 mA·h/g
$\text{LiMn}_2\text{O}_4$	4.0 V	100 mA·h/g
$\text{LiNiO}_2$	3.5 V	180 mA·h/g
$\text{LiFePO}_4$	3.3 V	150 mA·h/g
$\text{Li}_2\text{FePO}_4\text{F}$	3.6 V	115 mA·h/g
$\text{LiCo}_{1/3}\text{Ni}_{1/3}\text{Mn}_{1/3}\text{O}_2$	3.6 V	160 mA·h/g
$\text{Li}(\text{Li}_a\text{Ni}_x\text{Mn}_y\text{Co}_z)\text{O}_2$	4.2 V	220 mA·h/g

# Cell Assembly





**Fig. 1: Comparison of conductivity of 4 different Li-salts  
(1 M Li-salt in EC:DMC 50:50 wt%)**



# Electrode Coating

